UNCLASSIFIED

Report to Congress Pursuant to Section 241 of the
Countering America’s Adversaries Through Sanctions Act of 2017
Regarding Senior Foreign Political Figures and Oligarchs in the Russian Federation
and
Russian Parastatal Entities

January 29, 2018

Section 241 of the Countering America’s Adversaries Through Sanctions Act of 2017
(CAATSA) requires the Secretary of the Treasury, in consultation with the Director of National
Intelligence and the Secretary of State, to submit to the appropriate congressional committees
180 days after enactment a detailed report on senior political figures and oligarchs in the Russian
Federation (Section 241(a)(1)) and on Russian parastatal entities (Section 241(a)(2)). Pursuant
to Section 241(b), the report shall be submitted in an unclassified form but may have a classified
annex. This is the unclassified portion of the report.

Section 241(a)(1) – Senior Foreign Political Figures and Oligarchs in the Russian
Federation

As required by Section 241(a)(1)(A) of CAATSA, the Department of the Treasury is providing
in this unclassified report a list of senior foreign political figures and oligarchs in the Russian
Federation, as determined by their closeness to the Russian regime and their net worth. For
purposes of this unclassified portion of the report, this determination was made based on
objective criteria related to individuals’ official position in the case of senior political figures, or
a net worth of $1 billion or more for oligarchs.

To determine the list of senior political figures, the Department of the Treasury considered the
definition in CAATSA Section 241(c)(2), which incorporates by reference the definition of
“senior foreign political figure” in section 1010.605, title 31 of the Code of Federal Regulations.
For purposes of this unclassified portion of the report, such names consist of: i) senior members
of the Russian Presidential Administration; ii) members of the Russian Cabinet, Cabinet-rank
ministers, and heads of other major executive agencies; iii) other senior political leaders,
including the leadership of the State Duma and Federation Council, other members of the
Russian Security Council, and senior executives at state-owned enterprises. These individuals
are listed in Appendix 1 of this report.

To determine the list of oligarchs, the Department of the Treasury enumerated those individuals
who, according to reliable public sources, have an estimated net worth of $1 billion or more.
Those individuals who meet this criterion are listed in Appendix 2 of this report.

The Department of the Treasury is also providing in a classified annex to this report additional
information required pursuant to Section 241(a)(1). The classified annex may include
individuals who are not included in Appendices 1 and 2 to this unclassified report, and such
persons may hold a position below those included in the unclassified report or have a net worth
below $1 billion.

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This report has been prepared and provided exclusively in response to Section 241 of CAATSA. It is not a sanctions list, and the inclusion of individuals or entities in this report, its appendices, or its annex does not and in no way should be interpreted to impose sanctions on those individuals or entities. Inclusion in this report also does not constitute the determination by any agency that any of those individuals or entities meet the criteria for designation under any sanctions program. Moreover, the inclusion of individuals or entities in this report, its appendices, or its classified annexes does not, in and of itself, imply, give rise to, or create any other restrictions, prohibitions, or limitations on dealings with such persons by either U.S. or foreign persons. Neither does inclusion on the unclassified list indicate that the U.S. Government has information about the individual’s involvement in malign activities. Named individuals and entities who are separately subject to sanctions pursuant to sanctions programs established in U.S. law are denoted with an asterisk (*)

Section 241(a)(2) – Russian Parastatal Entities

CAATSA Section 241(a)(2)-(5) requires a report on Russian parastatal entities, including an assessment of their role in the economy of the Russian Federation; an overview of key U.S. economic sectors’ exposure to Russian persons and entities; an analysis of the potential effects of imposing additional debt and equity restrictions on parastatal entities; and the possible impact of additional sanctions against oligarchs, senior political figures, and parastatals on the U.S. and Russian economies.

Russian parastatals have origins in the Soviet Union’s command economy. After the dissolution of the Soviet Union, the Russian government conducted large-scale privatization of these entities; in the early 2000s, it began to renationalize large companies. The Russian government has responded to economic shocks, including the financial crisis in 2008 and the imposition of sanctions in 2014, by increasing its role in the economy and ownership of parastatals. As of 2016, Russian parastatals accounted for one-third of all jobs in Russia and 70 percent of Russia’s GDP.

For purposes of this requirement, Russian parastatals are defined as companies in which state ownership is at least 25 percent and that had 2016 revenues of approximately $2 billion or more. A list of such parastatals and the required analysis specified in Section 241(a)(2)-(5) are included in the classified annex of this report.
# Appendix A: List of Senior Political Figures

## Presidential Administration

<table>
<thead>
<tr>
<th>No.</th>
<th>Name</th>
<th>Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Anton Vayno</td>
<td>Head, Presidential Administration</td>
</tr>
<tr>
<td>2.</td>
<td>Aleksey Gromov*</td>
<td>First Deputy Head, Presidential Administration</td>
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<tr>
<td>3.</td>
<td>Sergey Kiriyenko</td>
<td>First Deputy Head, Presidential Administration</td>
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<td>4.</td>
<td>Magomedsalam Magomedov</td>
<td>Deputy Head, Presidential Administration</td>
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<tr>
<td>5.</td>
<td>Vladimir Ostrovenko</td>
<td>Deputy Head, Presidential Administration; Presidential Press Secretary</td>
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<tr>
<td>6.</td>
<td>Dmitriy Peskov</td>
<td>Chief of Presidential Protocol</td>
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<tr>
<td>7.</td>
<td>Vladislav Kitayev</td>
<td>Aide to the President</td>
</tr>
<tr>
<td>8.</td>
<td>Andrey Belousov</td>
<td>Aide to the President</td>
</tr>
<tr>
<td>9.</td>
<td>Larisa Brycheva</td>
<td>Aide to the President</td>
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<tr>
<td>10.</td>
<td>Vladislav Surkov*</td>
<td>Aide to the President</td>
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<tr>
<td>11.</td>
<td>Igor Levitin</td>
<td>Aide to the President</td>
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<tr>
<td>12.</td>
<td>Vladimir Kozhin*</td>
<td>Aide to the President</td>
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<tr>
<td>13.</td>
<td>Yuryi Ushakov</td>
<td>Aide to the President</td>
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<td>14.</td>
<td>Andrey Fursenko*</td>
<td>Aide to the President</td>
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<td>15.</td>
<td>Nikolay Tsukanov</td>
<td>Aide to the President</td>
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<td>16.</td>
<td>Konstantin Chuychenko</td>
<td>Aide to the President</td>
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<td>17.</td>
<td>Yevgeniy Shkolov</td>
<td>Aide to the President</td>
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<tr>
<td>18.</td>
<td>Igor Shchechogolev*</td>
<td>Aide to the President</td>
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<tr>
<td>19.</td>
<td>Aleksandr Bedritskiy</td>
<td>Adviser to the President, Special Presidential Representative on Climate Issues</td>
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<tr>
<td>20.</td>
<td>Sergey Glazyev*</td>
<td>Adviser to the President</td>
</tr>
<tr>
<td>21.</td>
<td>Sergey Grigorov</td>
<td>Adviser to the President</td>
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<tr>
<td>22.</td>
<td>German Klimenko</td>
<td>Adviser to the President</td>
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<tr>
<td>23.</td>
<td>Anton Kobyakov</td>
<td>Adviser to the President</td>
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<tr>
<td>24.</td>
<td>Aleksandra Levitskaya</td>
<td>Adviser to the President</td>
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<tr>
<td>25.</td>
<td>Vladimir Tolstoy</td>
<td>Adviser to the President</td>
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<tr>
<td>26.</td>
<td>Mikhail Fedotov</td>
<td>Adviser to the President, Chairman of the Presidential Council for Civil Society and Human Rights</td>
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<tr>
<td>27.</td>
<td>Venyamin Yakovlev</td>
<td>Adviser to the President</td>
</tr>
<tr>
<td>28.</td>
<td>Artur Muravyev</td>
<td>Presidential Envoy to the Federation Council</td>
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<tr>
<td>29.</td>
<td>Garry Minkh</td>
<td>Presidential Envoy to the State Duma</td>
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<tr>
<td>30.</td>
<td>Mikhail Krotov</td>
<td>Presidential Envoy to the Constitutional Court</td>
</tr>
<tr>
<td>31.</td>
<td>Anna Kuznetsova</td>
<td>Presidential Commissioner for Children’s Rights</td>
</tr>
<tr>
<td>32.</td>
<td>Boris Titov</td>
<td>Presidential Commissioner for Entrepreneurs’ Rights</td>
</tr>
<tr>
<td>33.</td>
<td>Mikhail Babich</td>
<td>Plenipotentiary Representative to the Volga Federal District</td>
</tr>
</tbody>
</table>
34. Aleksandr Beglov
Plenipotentiary Representative to the Northwestern Federal District

35. Oleg Belaventsev*
Plenipotentiary Representative to the North Caucasus Federal District

36. Aleksey Gordeyev
Plenipotentiary Representative to the Central Federal District

37. Sergey Menyaylo*
Plenipotentiary Representative to the Siberian Federal District

38. Yury Trutnev
Deputy Prime Minister -- Plenipotentiary Representative to the Far Eastern Federal District

39. Vladimir Ustinov
Plenipotentiary Representative to the Southern Federal District

40. Igor Kholomskikh
Plenipotentiary Representative to the Urals Federal District

41. Aleksandr Manzhosin
Head, Foreign Policy Directorate

42. Vladimir Chernov
Head, Directorate for Interregional and Cultural Ties to Foreign Countries

43. Oleg Govorun
Head, Directorate for Social and Economic Relations with the Commonwealth of Independent States, Abkhazia, and South Ossetia

44. Dmitriy Medvedev
Prime Minister

45. Igor Shuvalov
First Deputy Prime Minister

46. Sergey Prikhodko
Deputy Prime Minister and Head of the Government Apparatus

47. Aleksandr Khloponin
Deputy Prime Minister

48. Vitaliy Mutko
Deputy Prime Minister

49. Arkadiy Dvorkovich
Deputy Prime Minister

50. Olga Golodets
Deputy Prime Minister

51. Dmitriy Kozak*
Deputy Prime Minister

52. Dmitriy Rogozin*
Deputy Prime Minister

53. Mikhail Abyzov
Minister for Liaison with Open Government

54. Aleksandr Tkachev
Minister of Agriculture

55. Vladimir Puchkov
Minister of Civil Defense, Emergencies, and Natural Disasters

56. Nikolay Nikiforov
Minister of Communications and Mass Media

57. Mikhail Men
Minister of Construction, Housing, and Public Utilities

58. Vladimir Medinskiy
Minister of Culture

59. Sergey Shoigu
Minister of Defense

60. Maksim Oreshkin
Minister of Economic Development
61. Olga Vasilyeva
62. Aleksandr Novak
63. Aleksandr Galushka
64. Anton Siluanov
65. Sergey Lavrov
66. Veronika Skvortsova
67. Denis Manturov
68. Vladimir Kolokoltsev
69. Aleksandr Konovalov
70. Maksim Topilin
71. Sergey Donskoy
72. Lev Kuznetsov
73. Pavel Kolobkov
74. Maksim Sokolov

Minister of Education and Science
Minister of Energy
Minister of Far East Development
Minister of Finance
Minister of Foreign Affairs
Minister of Health
Minister of Industry and Trade
Minister of Internal Affairs
Minister of Justice
Minister of Labor and Social Protection
Minister of Natural Resources and Ecology
Minister of North Caucasus Affairs
Minister of Sports
Minister of Transportation

Other Senior Political Leaders

75. Valentina Matviyenko*
76. Sergey Naryshkin*
77. Vyacheslav Volodin*
78. Sergey Ivanov*
79. Nikolay Patrushev
80. Vladimir Bulavin
81. Valeriy Gerasimov
82. Igor Korobov*
83. Rashid Nurgaliyev
84. Georgiy Poltavchenko
85. Sergey Sobyanin
86. Yuriy Chayka
87. Aleksandr Bastrykin*
88. Viktor Zolotov
89. Dmitriy Kochnev
90. Aleksandr Bortnikov
91. Andrey Artizov
92. Yuriy Chikhanchin
93. Aleksandr Linets
94. Aleksandr Kolpakov

Chairwoman, Federation Council
Director, Foreign Intelligence Service (SVR)
Chairman, State Duma
Presidential Special Representative for the
Environment, Ecology, and Transport
Secretary, Security Council
Head, Federal Customs Service
First Deputy Minister of Defense and Chief of the
General Staff
Chief, Main Intelligence Directorate General Staff
(GrU), Ministry of Defense
Deputy Secretary, Security Council
Governor of Saint Petersburg
Mayor of Moscow
Prosecutor General
Head, Investigative Committee
Director, Federal National Guard Service
Director, Federal Protection Service
Director, Federal Security Service (FSB)
Head, Federal Archive Agency
Head, Financial Monitoring Federal Service
Head, Presidential Main Directorate for Special
Programs
Head, Presidential Property Management
Directorate
95. Valeriy Tikhonov
96. Aleksey Miller
97. Igor Sechin*
98. German Gref
99. Oleg Belozerov
100. Andrey Kostrin
101. Sergey Chemezov*
102. Oleg Budargin
103. Boris Kovalchuk
104. Aleksey Lkhachev
105. Nikolay Tokarev
106. Andrey Akimov
107. Nail Maganov
108. Vitaliy Savelyev
109. Andrey Shishkin
110. Yuriy Slyusar
111. Nikolay Shulginov
112. Sergey Gorkov
113. Sergey Ivanov (Jr)
114. Roman Dashkov

Head, State Courier Service
Chief Executive Officer, Gazprom
Chief Executive Officer, Rosneft
Chief Executive Officer, Sberbank
General Director, Russian Railways
Chairman-Management Board, VTB
Chief Executive Officer, Rostec
Chief Executive Officer, Rosseti
Chief Executive Officer, Inter RAO
General Director, Rosatom
Chief Executive Officer, Transneft
Chief Executive Officer, Gazprombank
General Director, Tatneft
Chief Executive Officer, Aeroflot
Chief Executive Officer, ANK Bashneft
Chief Executive Officer, United Aircraft Corporation
Chief Executive Officer, RusHydro
Chief Executive Officer, Vneshekonombank
Chief Executive Officer, ALROSA
Chief Executive Officer, Sakhalin Energy
Appendix B: List of Oligarchs

1. Aleksandr Abramov
2. Roman Abramovich
3. Araz Agalarov
4. Farkhad Akhmedov
5. Vagit Alekperov
6. Igor Altushkin
7. Aleksey Ananyev
8. Dmitriy Ananyev
9. Vasily Anisimov
10. Roman Avdeev
11. Petr Aven
12. Yelena Baturina
13. Aleksey Bogachev
14. Vladimir Bogdanov
15. Leonid Boguslavskiy
16. Andrey Bokarev
17. Oleg Boyko
18. Nikolay Buynov
19. Oleg Deripaska
20. Aleksandr Dzhaparidze
21. Leonid Fedun
22. Gleb Fetisov
23. Mikhail Fridman
24. Aleksandr Frolov
25. Filaret Galchev
26. Sergey Galitskiy
27. Valentin Gapontsev
28. Sergey Gordeyev
29. Andrey Guryev
30. Yurii Gushchin
31. Mikhail Gutseriyev
32. Sait-Salam Gutseriyev
33. Zarakh Iliyev
34. Dmitriy Kamenshchik
35. Vyacheslav Kantor
36. Samvel Karapetyan
37. Yevgeniy Kasperskiy
38. Sergey Katsiyev
39. Suleyman Kerimov
40. Igor Kesayev
41. Danil Khachaturov
42. German Khan
43. Viktor Kharitonin
44. Aleksandr Klyachin
45. Petr Kondrashev
46. Andrey Kosogov
47. Yurii Kovalchuk*
48. Andrey Kozitsyn
49. Aleksey Kuzmichev
50. Lev Kvetnoy
51. Vladimir Lisin
52. Anatoliy Lomakin
53. Ziyavudin Magomedov
54. Igor Makarov
55. Iskander Makhmudov
56. Aleksandr Mamut
57. Andrey Melnichenko
58. Leonid Mikhelson
59. Yurii Milner
60. Boris Mints
61. Andrey Molchanov
62. Aleksey Mordashov
63. Vadim Moshkovich
64. Aleksandr Nesis
65. God Nisanov
66. Aleksandr Ponomarenko
67. Sergey Popov
68. Vladimir Potanin
69. Mikhail Prokhorov
70. Dmitriy Pumpyanskiy
71. Megdet Rakhimkulov
72. Andrey Rappoport
73. Viktor Rashnikov
74. Arkadiy Rotenberg*
75. Boris Rotenberg*
76. Dmitriy Rybolovlev
77. Ayrat Shaymiyev
78. Radik Shaymiyev
79. Kirill Shamalov
80. Yurii Shesler
81. Albert Shigabutdinov
82. Mikhail Shishkhanov
83. Leonid Simonovskiy
84. Andrey Skoch
85. Aleksandr Skorobogatko
86. Rustem Sulteyev
87. Aleksandr Svetakov
88. Gennadiy Timchenko*
89. Oleg Tinkov
90. Roman Trotsenko
91. Alisher Usmanov
92. Viktor Vekselberg
93. Arkadiy Volozh
94. Vadim Yakunin
95. Vladimir Yevtushenkov
96. Gavril Yushayev